

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ferguson, et al. Group Art Unit: 3738 Serial No.: 10/799,835 Confirmation No.: 8484 Filed: March 12, 2004 Examiner: Unknown For: Technique and Instrumentation for Intervertebral Prosthesis Implantation

FOURTH INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment **Commissioner For Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited reference is in fact material, are in fact prior art, or that no better art exists.

The undersigned first became aware of the cited patents by their inclusion in the International Search Reports issued by the ISA/EP for the PCT counterpart of the present application. A copy of the search report is enclosed.

The above-identified application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirement under 37 CFR 1.98 (a)(2)(i) as stated in a Pre-OG Notice dated July 11, 2003, a copy of the U.S. patent documents listed on the enclosed modified Form PTO-1449 are not attached.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted.

Registration No. 50,826

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on ___

Gayle Conner Printed Name

Signature

In place of PTO-1449 Form	PATENT AND PATENT OF COMMERCE PATENT AND PAT			Complete if Known	
	SEP 2 7 200	5 0		Application Number	10/799,835
INFO	MATION D) ТLC	SURE	Filing Date	March 12, 2004
STA	TËMENT BY	PPL	ICANT	Applicant(s)	Ferguson, et al.
STATEMENT BY APPLICANT (use as managements as necessary)				Art Unit	3738
				Examiner Name	Unknown
SHEET	1	OF	1	Attorney Docket Number	31132.222

	•	U. S. PATENT DO	CUMENTS		
Cite No.	Document Number	Publication Date			
A1	5,108,395	04/28/1992	Laurain		
A2	2003/0055430	03/20/2003	Kim		
A3	2003/135277	07/17/2003	Vincent, et al.		
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		FOREIGN PATENT	DOCUMENTS		
Cite No.	Foreign Patent Document (Country Code – Number – Kind)	Publication Date	Patentee or Applicant of Cited Document	Translation Y/N	
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	No. A1 A2 A3 Cite	No. A1 5,108,395 A2 2003/0055430 A3 2003/135277 Cite Foreign Patent No. Document	Cite No. Document Number Publication Date MM-DD-YYYY A1 5,108,395 04/28/1992 A2 2003/0055430 03/20/2003 A3 2003/135277 07/17/2003 FOREIGN PATENT Cite No. Foreign Patent Document Publication Date MM-DD-YYYY	No.	

NON-PATENT LITERATURE DOCUMENTS						
Examiner's	Cite	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume				
Initials	No.	issue number(s), publisher, city/country where published				
	A4	Patent Cooperation Treaty - European Patent Office, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," International Application No. PCT/US2005/007309, March 7, 2005, 11 pages				

Examiner		Date
Signature	, ·	 Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.